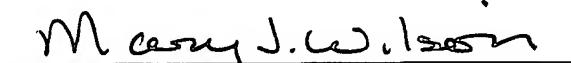| FORM PTO-1390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER 117-388
	TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/089553 (To Be Assigned)
INTERNATIONAL APPLICATION NO. **PCT/US00/26797**	INTERNATIONAL FILING DATE **29 September 2000**	PRIORITY DATE CLAIMED **1 October 1999**

TITLE OF INVENTION
COMPOUNDS FOR THE TREATMENT OF VIRAL-MEDIATED DISEASES

APPLICANT(S) FOR DO/EO/US
TAN et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☒ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).

5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. ☐ is attached hereto (required only if not communicated by the International Bureau).

    b. ☒ has been communicated by the International Bureau.

    c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. ☐ is attached hereto.

    b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

    a. ☐ are attached hereto (required only if not communicated by the International Bureau).

    b. ☐ have been communicated by the International Bureau.

    c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.

    d. ☐ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98, w/PTO-1449 Form, Int'l Search Report and References..

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.

14. ☐ A SECOND or SUBSEQUENT preliminary amendment.

15. ☐ A substitute specification.

16. ☐ A change of power of attorney and/or address letter.

17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☐ Other items or information.

- 1 -

615960

U.S. APPLICATION NO. (if known—see 37 C.F.R. 4.5) (To Be Assigned)	INTERNATIONAL APPLICATION NO. PCT/US00/26797	ATTORNEY'S DOCKET NUMBER 117-388

21. ☒ The following fees are submitted:

CALCULATIONS   PTO USE ONLY

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

-- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO $1040.00

-- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO....................$890.00

-- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO $740.00

-- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..............................$710.00

-- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....................................$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = $ 890.00

Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20   ☒ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). $ 130.00

CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE			
Total Claims	41	-20 =	21	X	$18.00	$	378.00
Independent Claims	6	-3 =	3	X	$84.00		252.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				$280.00		$	0.00
			TOTAL OF ABOVE CALCULATIONS =			$	1650.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   0.00

SUBTOTAL = $ 1650.00

Processing fee of $130.00, for furnishing the English Translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).   +   0.00

TOTAL NATIONAL FEE = $ 1650.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). **$40.00** per property   + $ 0.00

Fee for Petition to Revive Unintentionally Abandoned Application ($1280.00 – Small Entity = $640.00) $ 0.00

TOTAL FEES ENCLOSED = $ 1650.00

Amount to be: refunded	$	
Charged	$	

a. ☒ A check in the amount of $1650.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of $_____ to cover the above fees. A duplicate copy of this form is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A <u>duplicate</u> copy of this form is enclosed.

d. ☒ The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

NIXON & VANDERHYE P.C.
1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000
MJW:tat

Mary J. Wilson
SIGNATURE

Mary J. Wilson
NAME

32,955   **April 1, 2002**
REGISTRATION NUMBER   Date

- 2 -

615960